



Dept.: -C-

By: NFO/VKS/sfb

OSMM&N File No. 241406US0CONT

Serial No. NEW APPLICATION

In the matter of the Application of: Yusuke AMINO, et al.

For: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

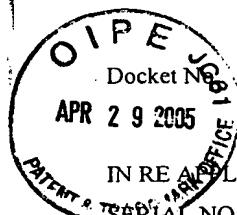
Due Date: 09/08/03

The following has been received in the U.S. Patent Office on the date stamped hereon.

- 49 pp. Specification 39 Claims/Drawings 1 Sheets and 2 Pages Application Data Sheet
- Copy of Combined Declaration, Petition & Power of Attorney 3 Pages (executed)
- Utility Patent Application Transmittal
- Request for Priority
- Check for \$966.00 Dep. Acct. Order Form
- Fee Transmittal Form
- Preliminary Amendment
- Information Disclosure Statement PTO-1449
- White Advance Serial Number Card

COPY





Docket No. 241406US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yusuke AMINO, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed on March 7, 2002, June 11, 2002 and January 9, 2003 and were also entered by the U.S. Patent Office on September 9, 2002 in U.S. Application Serial No. 10/091,500, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLOM, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
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Vincent K. Shier, Ph.D.
Registration No. 50,552

Form PTO-1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

ATTY DOCKET NO.
241406US0CONTSERIAL NO.
NEW APPLICATION

APPLICANT

Yusuke AMINO, et al.

FILING DATE

HEREWITH

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,480,668	01/02/98	Nofre, et al.			
	AB	5,510,508	04/1996	Claude, et al.			
	AC	6,077,962	07/2000	Prakash, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO	WO 00/17230	03/30/00	Wipo		
	AP	WO 00/00508	01/06/00	Wipo		
	AQ	WO 99/52937	10/21/99	Wipo		
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AW	S. Nishimura, "HANDBOOK OF HETEROGENEOUS CATALYTIC HYDROGENATION FOR ORGANIC SYNTHESIS", John Wiley & Sons, NY, pp. 178-185, 2001
AX	T. Fukuda, "NIPPON KAGAKU ZASSHI", vol. 83, pp. 1126-1129, 1962
AY	
AZ	
	<input type="checkbox"/> Additional References sheet(s) attached
Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Dept.: Chemical
By: NFO/C/L/lot

OSMM&N File No. 241406US0CON

Serial No. 10/656,228

In the matter of the Application of: Yusuke AMINO, et al.

For: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

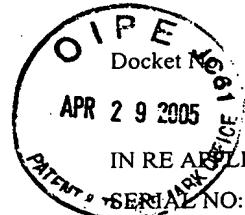
Due Date: December 15, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- PTO-1449
- IDS/Related/List of Related Cases
- Cited Pending Applications (1)

COPY





241406US0CONT

APR 29 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yusuke AMINO, et al.

SERIAL NO: 10/656,228

GAU: 1614

FILED: September 8, 2003

EXAMINER:

FOR: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLOON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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APR 29 2005
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U.S. PTO 1449
(Modified) to U.S. PATENT & TRADEMARK OFFICE

SHEET 1 OF 1

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241406US0CONT		SERIAL NO. 10/656,228	
		APPLICANT Yusuke AMINO, et al.			
LIST OF REFERENCES CITED BY APPLICANT		FILING DATE September 8, 2003		GROUP 1614	
		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
	AA	6,649,784	11-18-03	AMINO et al.	
	AB	6,630,191	10-07-03	AMINO et al.	
	AC	6,548,096	04-15-03	AMINO et al.	
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				
	AK				
	AL				
	AM				
	AN				
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AO				
	AP				
	AQ				
	AR				
	AS				
	AT				
	AU				
	AV				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
	AW				
	AX				
	AY				
	AZ				<input type="checkbox"/> Additional References sheet(s) attached
Examiner				Date Considered	

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.